

3-4-2

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PATENT

Docket No. IL-10803

Customer No. 24981

Commissioner for Patents
Washington, DC 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s):
Michael C. Rushford

For (title): **WOLLASTON PRISM PHASE-STEPPING POINT DIFFRACTION
INTERFEROMETER AND METHOD**

1. Type of Application

- ☒ This new application is for an original patent.
- ☒ This new application is a:
 - ☐ Division
 - ☐ Continuation
 - ☒ Continuation-in-part (CIP)

2. Benefit of Prior U.S. Application(s) (35 USC 120)

- ☒ The new application being transmitted claims the benefit of prior U.S. application(s) 09/564,073 filed May 3, 2000

3. ☐ Benefit under 35 U.S.C. 119(e) of United States provisional application(s) listed below:

Application Serial No. _____ Filing Date _____

4. Papers enclosed which are required for filing Date Under 37 CFR 1.53(b).

16 Pages of specification
3 Pages of claims
1 Pages of abstract
5 Sheets of drawings

☒ formal

5. Additional papers enclosed

- ☐ Preliminary Amendment
- ☒ Information Disclosure Statement
- ☒ Form PTO-1449

02/28/02
jc658 U.S. PTO

jc658 U.S. PTO
10/087482
02/28/02

10067482 022802

6. Declaration or oath

- ☒ Enclosed and executed by
- ☒ inventors
 - ☐ legal representative of inventor(s) 37 CFR 1.42 or 1.43
- ☐ Not Enclosed

7. Assignment

- ☒ An assignment of the invention to The Regents of the University of California.
- ☒ is attached
 - ☐ will follow

8. Certified Copy

Certified copy(ies) of application(s)

(country)	(application no.)	(filed)
(country)	(application no.)	(filed)

from which priority is claimed

- ☐ is(are) attached.
- ☐ will follow

9. Fee Calculation

CLAIMS AS FILED					
Type of Claim	Number Filed	Included in Basic Fee	Number Extra	Rate	Total Fee
Total Claims	10	-20 =	0	x \$18 =	\$ 00.00
Independent Claims	2	-3 =	0	x \$84 =	\$ 00.00
				Multiple Claims	= \$
				Basic Filing Fee	= \$ 740.00
				Sub-Total	= \$ 740.00
				Small Entity Filing Fee=	\$ 370.00

10. Small Entity Statement(s)

- ☒ Verified Statement that this is a filing by small entity under 37 CFR 1.9 and 1.27 is available upon request.
- ☐ Copy of Verified Statement that this is a filing by small entity under 37 CFR 1.9 and 1.27 filed in prior application. Status still proper and desired.

Filing Fee Calculation (50% of regular filing fee) \$ 370.00

11. Fee Payment

- ☐ Not Enclosed
- ☒ Enclosed (See Account No. Below)

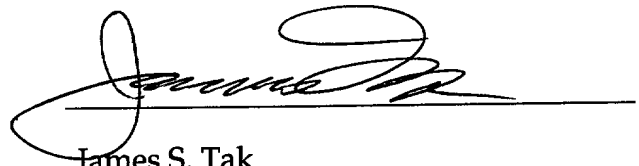
Total Basic Filing Fees To Be Paid \$ 370.00

12. Method of Payment of Fees

- ☐ Check in the Amount of \$ _____
- ☒ Charge Account No. 12-0695 in the amount of \$ 370.00
A duplicate of this transmittal is attached.

13. Instructions As To Overpayment/Underpayment

- ☒ credit/charge
Account No. 12-0695
- ☐ refund



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Dated: 2-27-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Michael C. Rushford

Attorney Docket No.: IL-10803

Serial No. :

Art Unit:

Filed :

Examiner:

For : Wollaston Prism Phase-Stepping Point Diffraction Interferometer and
Method

Commissioner for Patents

Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE"Express Mail" label number EL534388755USDate of Deposit 5/28/2002I hereby certify that the following *attached*

1. Recordation Cover Sheet
2. Assignment
3. New Application Transmittal (in duplicate)
4. Application (Specification 16 pages, Claims 3 pages, Abstract 1 page, Combined Declaration and Power of Attorney, Five (5) sheets of formal drawings)
5. Information Disclosure Statement, Form PTO-1449, references 10 US Patents, 1 Foreign Patent, 1 Other Disclosure
6. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box: Patent Application, Washington, D.C. 20231.

Teresa Walls

(Type or print name of person mailing paper)

Teresa Walls

(Signature of person mailing paper or fee)

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